Refine Search

Search Results -

Terms	Documents
20020013754.pn.	2

Database:
US Pre-Grant Publication Full-Text Database
US Patents Full-Text Database
US OCR Full-Text Database
EPO Abstracts Database
JPO Abstracts Database
Derwent World Patents Index
IBM Technical Disclosure Bulletins

Search:

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Recall Text   Clear   Interrupt

Search History

DATE: Wednesday, October 22, 2003   Printable Copy   Create Case

Set Name side by side	Query	Hit Count	Set Name result set
DB=PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD; PLUR=YES; OP=OR			
L11	20020013754.pn.	2	L11
L10	20020013751.pn.	2	L10
L9	6240399.pn.	2	L9
L8	6154732.pn.	2	L8
L7	6078904.pn.	2	L7
L6	6055517.pn.	2	L6
L5	6018722.pn.	2	L5
L4	5999917.pn.	2	L4
L3	5812987.pn.	2	L3
L2	5774881.pn.	2	L2
L1	"tarbox, brian".in.	8	L1

END OF SEARCH HISTORY

Refine Search

Search Results -

Terms	Documents
6240399.pn.	2

Database:

US Pre-Grant Publication Full-Text Database
US Patents Full-Text Database
US OCR Full-Text Database
EPO Abstracts Database
JPO Abstracts Database
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Search:

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DATE: Wednesday, October 22, 2003   Printable Copy   Create Case

Set Name side by side	Query	Hit Count	Set Name result set
DB=PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD; PLUR=YES; OP=OR			
L9	6240399.pn.	2	L9
L8	6154732.pn.	2	L8
L7	6078904.pn.	2	L7
L6	6055517.pn.	2	L6
L5	6018722.pn.	2	L5
L4	5999917.pn.	2	L4
L3	5812987.pn.	2	L3
L2	5774881.pn.	2	L2
L1	"tarbox, brian".in.	8	L1

END OF SEARCH HISTORY

<u>First Hit</u>   <u>Fwd Refs</u>

☐ | Generate Collection | Print

L1: Entry 4 of 8   File: USPT   Nov 28, 2000

US-PAT-NO: 6154732
DOCUMENT-IDENTIFIER: US 6154732 A

TITLE: System for providing investment advice and management of pension assets

DATE-ISSUED: November 28, 2000

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Tarbox; Brian Christopher	Minnetonka	MN		

ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE CODE
GuidedChoice.com	San Jose	CA			02

APPL-NO: 08/ 900415   [PALM]
DATE FILED: July 25, 1997

INT-CL: [07] G06 F 17/60

US-CL-ISSUED: 705/36; 705/39
US-CL-CURRENT: 705/36; 705/39

FIELD-OF-SEARCH: 705/36, 705/37, 705/38, 705/39, 705/35, 705/4, 705/10

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

| Search Selected | Search ALL | Clear |

	PAT-NO	ISSUE-DATE	PATENTEE-NAME	US-CL
☐	4742457	May 1988	Leon et al.	364/408
☐	4953085	August 1990	Atkins	705/36
☐	5220500	June 1993	Baird et al.	364/408
☐	5615109	March 1997	Eder	395/208
☐	5774881	June 1998	Friend et al.	705/36
☐	5784696	July 1998	Melnikoff	705/36
☐	5806049	September 1998	Petruzzi	705/36

OTHER PUBLICATIONS

Tim McCollum, "Adding Some Byte to Retirement Plans", Nation's Business, v. 84, n. 8, pp. 52-55, May 1996.

Dialog, Database 16, "Of Mutual Interest: Mutual Fund News From the Vanguard Group", PR Newswire, Nov. 1994.

Rafter, Robert J. "The DOL 401(k) guidelines: How employees can separate information from advice", Compensation & Benefits review, vol. 28, No. 1, pp. 66-72, Feb. 1996.

Rohrer, Julie, "Advise and repent", Institutional Investor, vol. 27, No. 3, pp. 157-164, Mar. 1993.

Walter, Kate, "Make your 401(k) plan appeal to employees", HRMagazine, vol. 41, No. 11, pp. 117(9), Nov. 1996.

Tam Harbert, "The many benefits of going online", Computerworld, vol. 30, no. 32, p. 89, Aug. 5, 1996.

The Vanguard Retirement Planner, version 3.0 for Windows, Retirement Planning Software user's manual, 1995.

ART-UNIT: 271

PRIMARY-EXAMINER: MacDonald; Allen R.

ASSISTANT-EXAMINER: Kalinowski; Alexander

ATTY-AGENT-FIRM: Hopgood, Calimafde, Kalil & Judlowe

ABSTRACT:

A system and method for a data processor implemented system monitor for enabling individual employee participants to access independent professional money management for their Benefit Plan assets in a manner that is free from the traditional economic conflicts of interest inherent in previous systems is disclosed. The system and method are adapted to separate the investment management functions and fees thereof from the participant investment advice function and the fees thereof. The system and method collects, monitors and directs information from employee Benefit Plan accounts, employees, employers, fund managers and professional investment advisors to provide professional investment advice in a non conflict of interest manner and in accordance with the regulatory restraints of ERISA.

8 Claims, 5 Drawing figures

RAM Fee History Query
Revenue Accounting and Management

Name/Number: 10073632

Start Date: Any Date

Total Records Found: 7

End Date: Any Date

Accounting Date	Sequence Num.	Tran Type	Fee Code	Fee Amount	Mailroom Date	Payment Method
02/19/2002	00000127	1	102	$168.00	02/11/2002	DA 110231
02/19/2002	00000126	1	101	$740.00	02/11/2002	DA 110231
07/03/2002	00000084	1	105	$130.00	06/14/2002	DA 110231
07/03/2002	00000085	1	115	$110.00	06/14/2002	DA 110231
07/21/2003	00000002	1	1460	$130.00	07/16/2003	CK
07/21/2003	00000003	1	2201	$252.00	07/16/2003	CK
07/21/2003	00000004	1	2202	$378.00	07/16/2003	CK